



Membership Department
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CLEARING MEMBER GIVE-UP AUTHORIZATION AND GUARANTEE

Name of Clearing Member

NSCC #

In accordance with Chicago Board Options Exchange Rule 3.28, Clearing Member authorizes the CBOE Stock Exchange (CBSX) participant(s) identified on this form to give-up Clearing Member's NSCC number on CBSX and Clearing Member advises CBSX that it guarantees and assumes financial responsibility for all transactions on CBSX resulting from orders, bids, offers, and other messages that are transmitted to CBSX by such CBSX participant(s) with Clearing Member's NSCC number. The Clearing Member guarantees and assumes financial responsibility for such transactions on CBSX even if orders, bids, offers, or other messages transmitted to CBSX by such CBSX participant(s) with Clearing Member's NSCC number (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Member's credit parameters.

Name of Authorized Signatory of Clearing Member

Title

Signature of Authorized Signatory of Clearing Member

Date

CBSX Participant(s) Covered by this Form:

1. _____
2. _____
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