



Membership Department  
400 S. LaSalle Street  
Chicago, Illinois 60605  
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www.cboe.org

### CBSX MEMBER ORGANIZATION APPLICATION for a CBOE MEMBER

1. Name: \_\_\_\_\_ Tax ID #: \_\_\_\_\_ - \_\_\_\_\_

2. E-mail Address: \_\_\_\_\_ BD #: 8 - \_\_\_\_\_

3. Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ Phone: \_\_\_\_\_

4. Designate at least one employee or agent (Responsible Person) as your administrator for the organization's use of the CBOEdirect system (including its affiliated Individual members).

_____	_____	_____
Name	Phone	E-mail Address

_____	_____	_____
Name	Phone	E-mail Address

5. Capacity (ies) in which the organization seeks to act on CBSX:  
 RMM                                       Proprietary Trader                       DPM  
 Clearing Firm                               Broker                                       NMCB (CBOE application must be attached)

6. Identify the Clearing Firm issuing the guarantee for the organization's activity on CBSX:

Name: \_\_\_\_\_ NSCC #: \_\_\_\_\_

The organization hereby agrees on behalf of itself and its associated persons to abide by the Constitution and Rules of CBOE and of CBOE Stock Exchange, LLC, as they shall be in effect from time to time.

The organization agrees that CBSX, in compliance with Regulation NMS, may route linkage orders to other markets on behalf of non-IOC orders sent to CBSX from the organization.

\_\_\_\_\_  
Authorized Signatory's Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature of Authorized Signatory

\_\_\_\_\_  
Date