

**[NSCC Add RIO File Instructions]**  
**To be typed on firm's letterhead**

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[Date]

National Securities Clearing Corporation  
Membership Services Department  
55 Water Street, 51<sup>st</sup> Floor  
New York, New York 10041

*Re: Addition to RIO File*

[Member Name and number] hereby request to be added to and receive NSCC's Regional Interface Operations (RIO) report. Please be advised that the undersigned will begin regional trading [add alpha symbol if necessary] with [Exchange Name]\* and would like to begin participation on the RIO file, effective first trade date on [Date].

[Firm Name]

Very truly yours,

By: \_\_\_\_\_  
(Authorized Signer)

\_\_\_\_\_  
(Print Name/Title)

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\* This form must be accompanied by the Exchange's separate instruction to NSCC in agreement with this request.