



Registration Services Department  
400 S. LaSalle Street  
Chicago, IL 60605  
312-786-7449 – Phone  
312-786-8140 – Fax  
www.cboe.org

## CLEARING TRADING PERMIT HOLDER CONNECTIVITY GUARANTEE FOR LOGIN ACCESS

\_\_\_\_\_  
Name of Clearing Trading Permit Holder

\_\_\_\_\_  
NSCC #

\_\_\_\_\_  
Name of CBSX Participant

In accordance with Chicago Board Options Exchange Rule 3.28, Clearing Trading Permit Holder advises CBSX that it guarantees and assumes financial responsibility for all transactions on CBSX resulting from orders, bids, offers, and other messages that are transmitted through any login access to CBSX provided to the above listed CBSX participant. The Clearing Trading Permit Holder guarantees and assumes financial responsibility for such transactions on CBSX even if orders, bids, offers, or other messages transmitted to CBSX through the foregoing login access (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Trading Permit Holder's credit parameters.

\_\_\_\_\_  
Name of Authorized Signatory of Clearing Trading Permit Holder

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature of Authorized Signatory of Clearing Trading Permit Holder

\_\_\_\_\_  
Date