



Registration Services Department
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Chicago, IL 60605
312-786-7449 - Phone
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www.cboe.org

CBSX ORGANIZATION APPLICATION
for a CBOE TRADING PERMIT HOLDER

1. Name: _____ Tax ID #: _____ - _____

2. E-mail Address: _____ BD #: 8 - _____

3. Mailing Address: _____

City: _____ State: _____ Zip Code: _____ Phone: _____

4. Designate at least one employee or agent (Responsible Person) as your administrator for the organizations use of the CBOE direct system (including its affiliated Individual trading permit holders).

Name Phone E-mail Address

Name Phone E-mail Address

5. Capacity (ies) in which the organization seeks to act on CBSX:

- checkbox RMM checkbox Proprietary Trader checkbox DPM checkbox Broker
checkbox Clearing Trading Permit Holder checkbox Transact Business with the Public (CBOE application must be attached)

6. Identify the Clearing Trading Permit Holder issuing the guarantee for the organization's activity on CBSX:

Name: _____ NSCC #: _____

The organization hereby agrees on behalf of itself and its associated persons to abide by the Bylaws and Rules of CBOE and of CBOE Stock Exchange, LLC, as they shall be in effect from time to time.

The organization agrees that CBSX, in compliance with Regulation NMS, may route linkage orders to other markets on behalf of non-IOC orders sent to CBSX from the organization.

Authorized Signatory's Name

Title

Signature of Authorized Signatory

Date